

INFINITELY MANY SOLUTIONS FOR FRACTIONAL SCHRÖDINGER-MAXWELL EQUATIONS*

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Abstract In this paper using fountain theorems we study the existence of infinitely many solutions for fractional Schrödinger-Maxwell equations

$$\begin{cases} (-\Delta)^\alpha u + \lambda V(x)u + \phi u = f(x, u) - \mu g(x)|u|^{q-2}u, & \text{in } \mathbb{R}^3, \\ (-\Delta)^\alpha \phi = K_\alpha u^2, & \text{in } \mathbb{R}^3, \end{cases}$$

where $\lambda, \mu > 0$ are two parameters, $\alpha \in (0, 1]$, $K_\alpha = \frac{\pi^{-\alpha}\Gamma(\alpha)}{\pi^{-(3-2\alpha)/2}\Gamma((3-2\alpha)/2)}$ and $(-\Delta)^\alpha$ is the fractional Laplacian. Under appropriate assumptions on f and g we obtain an existence theorem for this system.

Keywords Fractional Laplacian, Schrödinger-Maxwell equations, infinitely many solutions.

MSC(2010) 35J20, 35J60.

1. Introduction

In this paper we study the fractional Schrödinger-Maxwell equations

$$\begin{cases} (-\Delta)^\alpha u + \lambda V(x)u + \phi u = f(x, u) - \mu g(x)|u|^{q-2}u, & \text{in } \mathbb{R}^3, \\ (-\Delta)^\alpha \phi = K_\alpha u^2, & \text{in } \mathbb{R}^3, \end{cases} \quad (1.1)$$

where $\lambda, \mu > 0$ are two parameters, $\alpha \in (0, 1]$, $K_\alpha = \frac{\pi^{-\alpha}\Gamma(\alpha)}{\pi^{-(3-2\alpha)/2}\Gamma((3-2\alpha)/2)}$ and $(-\Delta)^\alpha$ is the fractional Laplacian. Here the fractional Laplacian $(-\Delta)^\alpha$ with $\alpha \in (0, 1]$ of a function $\varphi : \mathbb{R}^3 \rightarrow \mathbb{R}$ is defined by $\mathcal{F}((-\Delta)^\alpha \varphi)(\xi) = |\xi|^{2\alpha} \mathcal{F}(\varphi)(\xi)$, $\forall \alpha \in (0, 1]$, where \mathcal{F} is the Fourier transform, i.e., $\mathcal{F}(\varphi)(\xi) = \frac{1}{(2\pi)^{3/2}} \int_{\mathbb{R}^3} \exp\{-2\pi i \xi \cdot x\} dx$.

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*The authors were supported by Talent Project of Chongqing Normal University (No. 02030307-0040), the National Natural Science Foundation of China(No. 11601048), Natural Science Foundation of Chongqing (No. cstc2016jcyjA0181), Natural Science Foundation of Chongqing Normal University (No. 16XY24).

If φ is smooth enough then $(-\Delta)^\alpha$ can also be computed by the singular integral $(-\Delta)^\alpha \varphi(x) = c_{3,\alpha} \text{P.V.} \int_{\mathbb{R}^3} \frac{\varphi(x) - \varphi(y)}{|x-y|^{3+2\alpha}} dy$, where P.V. is the principal value and $c_{3,\alpha}$ is a normalization constant.

Fractional models are widely used in various fields, such as physics, signal processing, fluid mechanics, viscoelasticity, mathematical biology, and electrochemistry. For example, Bagley and Torvik [1] used fractional calculus to construct stress-strain relationships for viscoelastic materials, and they proposed a five-parameter model in the form

$$\sigma(t) + bD^\beta \sigma(t) = E_0 \varepsilon(t) + E_1 D^\alpha \varepsilon(t),$$

where D^α, D^β are fractional derivatives, and $\alpha, \beta, b, E_0, E_1$ are parameters. For more applications in this direction, we refer the reader to [2, 3, 5, 8, 11–14, 33, 34, 39–46] and the references therein. Fractional Schrödinger-Maxwell equations or Schrödinger-Poisson equations arise from standing waves for fractional nonlinear Schrödinger equations; for the physical background we refer the reader to [4, 9] and the references therein. For results on existence and multiplicity of solutions for Schrödinger-Poisson systems we refer the reader to [6, 7, 10, 15, 17, 19–26, 28–32, 36–38, 47–52] and the references therein. Li [19] adopted the (AR) condition to obtain an existence theorem for (1.1) when $\lambda = V = K_\alpha = 1, \mu = 0$. Teng [32] used the method of Pohozaev-Nehari manifolds, the arguments of Brezis-Nirenberg, a monotonic trick and a global compactness lemma to establish the existence of a nontrivial ground state solution when $f = \mu|u|^{q-1}u + |u|^{2_\alpha^* - 2}u, 2_\alpha^* = \frac{6}{3-2\alpha}$ ($\lambda = K_\alpha = 1, \mu = 0$). However, there are only a few papers in the literature which consider the effect of the parameter λ, μ and the perturbation term g on the existence of solutions of (1.1); see [17, 25, 28, 36, 37]. In [28], S. Secchi studied nonlinear fractional equations involving the Bessel operator

$$(I - \Delta)^\alpha u + \lambda V(x)u = f(x, u) + \mu \xi(x)|u|^{p-2}u, x \in \mathbb{R}^N,$$

where f satisfies the (AR) condition, and $\xi(x)|u|^{p-2}u$ is a sublinear perturbation term.

In our paper, in system (1.1), the functions $u, g, V : \mathbb{R}^3 \rightarrow \mathbb{R}, f : \mathbb{R}^3 \times \mathbb{R} \rightarrow \mathbb{R}$ satisfy the following assumptions

(V) $V \in C(\mathbb{R}^3, \mathbb{R})$, and there is a positive constant $V_0 > 0$ such that $\inf_{x \in \mathbb{R}^3} \tilde{V}(x) > 0, \lim_{|x| \rightarrow \infty} \tilde{V}(x) = +\infty$, where $\tilde{V}(x) = V(x) + V_0$, for $x \in \mathbb{R}^3$.

(H1) $\tilde{f} \in C(\mathbb{R}^3 \times \mathbb{R}, \mathbb{R})$, and $\tilde{f}(x, u) = o(u)$ uniformly in $x \in \mathbb{R}^3$ as $u \rightarrow 0$, where $\tilde{f}(x, u) = f(x, u) + \lambda V_0 u$, for $(x, u) \in \mathbb{R}^3 \times \mathbb{R}$.

(H2) $\tilde{F}(x, u) = \int_0^u \tilde{f}(x, s) ds \geq 0$ and $\tilde{\mathcal{F}}(x, u) = \frac{1}{4} \tilde{f}(x, u)u - \tilde{F}(x, u) \geq 0$, for $(x, u) \in \mathbb{R}^3 \times \mathbb{R}$.

(H3) $\lim_{|u| \rightarrow \infty} \frac{\tilde{f}(x, u)u}{u^4} = +\infty$ uniformly in $x \in \mathbb{R}^3$.

(H4) There exist $d_1, L_1 > 0$ and $\tau \in (\frac{3}{2\alpha}, 2), \alpha > \frac{3}{4}$ such that

$$|\tilde{f}(x, u)|^\tau \leq d_1 \tilde{\mathcal{F}}(x, u)|u|^\tau, \text{ for all } x \in \mathbb{R}^3, \text{ and } |u| \geq L_1.$$

(H5) $\tilde{f}(x, -u) = -\tilde{f}(x, u)$, for $(x, u) \in \mathbb{R}^3 \times \mathbb{R}$.

(g) $g \in L^{q'}(\mathbb{R}^3)$, and $g(x) \geq 0$ ($\neq 0$), for $x \in \mathbb{R}^3$, where $q' \in (\frac{2_\alpha^*}{2_\alpha^* - q}, \frac{2}{2 - q}]$, $q \in (1, 2)$.

Now, we state the main result of our paper.

Theorem 1.1. *Suppose that (V), (H1)-(H5) and (g) hold. Then for any $\mu > 0$, there exists $\Lambda > 0$ such that system (1.1) possesses infinitely many solutions when $\lambda \geq \Lambda$.*

Remark 1.1. Condition (H4) (see [6, 20, 38]) is weaker than the (AR) condition (H4)' there exists $\vartheta > 4$ such that $0 < \vartheta \tilde{F}(x, u) \leq \tilde{f}(x, u)u$ for all $(x, u) \in \mathbb{R}^3 \times \mathbb{R}$ with $u \neq 0$.

Note if $\tilde{f}(x, t) = e^{|x|t^3}[2 \ln(1 + t^2) + \frac{t^2}{1+t^2}]$ for all $(x, t) \in \mathbb{R}^3 \times \mathbb{R}$ then (H4) is satisfied but (H4)' is not. Moreover, from the proof of [28, Lemma 2.3] note (H1) and (H4)' imply (H4).

Finally we note that in [17, 25, 28, 36, 37] the authors used the (AR) condition (not (H4)) to discuss the effect of parameters and perturbation terms on the existence of solutions for their problem.

Remark 1.2. If the potential function V satisfies condition (V), then the following automatically holds:

(V1) $V \in C(\mathbb{R}^3, \mathbb{R})$, and V is bounded from below, i.e., there exists a positive constant $V_0 > 0$ such that $V(x) + V_0 > 0$ for all $x \in \mathbb{R}^3$.

(V2) There exists $b > 0$ such that $\text{meas}\{x \in \mathbb{R}^3 : \tilde{V}(x) \leq b\}$ is finite; here meas denotes the Lebesgue measure.

2. Variational settings and preliminary results

For any $1 \leq r < \infty$, $L^r(\mathbb{R}^3)$ is the usual Lebesgue space with the norm

$$\|u\|_r = \left(\int_{\mathbb{R}^3} |u(x)|^r dx \right)^{\frac{1}{r}}.$$

The fractional order Sobolev space

$$H^\alpha(\mathbb{R}^3) = \left\{ u \in L^2(\mathbb{R}^3) : \int_{\mathbb{R}^3} (|\xi|^{2\alpha} \hat{u}^2 + \hat{u}^2) d\xi < \infty \right\},$$

where $\hat{u} = \mathcal{F}(u)$, and the norm is defined by

$$\|u\|_{H^\alpha(\mathbb{R}^3)} = \left(\int_{\mathbb{R}^3} (|\xi|^{2\alpha} \hat{u}^2 + \hat{u}^2) d\xi \right)^{\frac{1}{2}}.$$

The space $D^\alpha(\mathbb{R}^3)$ is defined as the completion of $C_0^\infty(\mathbb{R}^3)$ under the norms

$$\|u\|_{D^\alpha(\mathbb{R}^3)} = \left(\int_{\mathbb{R}^3} (|\xi|^{2\alpha} \hat{u}^2) d\xi \right)^{\frac{1}{2}} = \left(\int_{\mathbb{R}^3} |(-\Delta)^{\alpha/2} u(x)|^2 dx \right)^{\frac{1}{2}}.$$

Note that, from Plancherel's theorem we have $\|u\|_2 = \|\hat{u}\|_2$, and

$$\begin{aligned} \int_{\mathbb{R}^3} |(-\Delta)^{\alpha/2} u(x)|^2 dx &= \int_{\mathbb{R}^3} ((-\Delta)^{\alpha/2} \widehat{u}(\xi))^2 d\xi = \int_{\mathbb{R}^3} (|\xi|^\alpha \hat{u}(\xi))^2 d\xi \\ &= \int_{\mathbb{R}^3} |\xi|^{2\alpha} \hat{u}^2 d\xi < \infty, \forall u \in H^\alpha(\mathbb{R}^3). \end{aligned}$$

It follows that

$$\|u\|_{H^\alpha(\mathbb{R}^3)} = \left(\int_{\mathbb{R}^3} (|(-\Delta)^{\frac{\alpha}{2}} u(x)|^2 + u^2) dx \right)^{\frac{1}{2}}.$$

In our problem we work with the space

$$E := \left\{ u \in H^\alpha(\mathbb{R}^3) : \left(\int_{\mathbb{R}^3} (|(-\Delta)^{\frac{\alpha}{2}} u(x)|^2 + \lambda \tilde{V}(x)u^2) dx \right)^{\frac{1}{2}} < \infty \right\}. \tag{2.1}$$

Now E is a Hilbert space with the inner product

$$(u, v) := \int_{\mathbb{R}^3} ((-\Delta)^{\frac{\alpha}{2}} u(x) \cdot (-\Delta)^{\frac{\alpha}{2}} v(x) + \lambda \tilde{V}(x)uv) dx.$$

and its norm is $\|u\| = \sqrt{(u, u)}$.

Lemma 2.1 (see [7, 10]). $H^\alpha(\mathbb{R}^3)$ is continuously embedded into $L^p(\mathbb{R}^3)$ for $p \in [2, 2_\alpha^*]$; and compactly embedded into $L^p_{loc}(\mathbb{R}^3)$ for $p \in [2, 2_\alpha^*)$ where $2_\alpha^* = \frac{6}{3-2\alpha}$. Therefore, there exists a positive constant C_P such that

$$\|u\|_p \leq C_P \|u\|_{H^\alpha(\mathbb{R}^3)}. \tag{2.2}$$

Lemma 2.2 (see [29]). Under the assumptions (V), the space E is compactly embedded into $L^p(\mathbb{R}^3)$ for $p \in [2, 2_\alpha^*)$.

Lemma 2.3 (see [16]). For $1 < p < \infty$ and $0 < \alpha < N/p$, we have

$$\|u\|_{L^{\frac{pN}{N-p\alpha}}(\mathbb{R}^N)} \leq B \|(-\Delta)^{\alpha/2} u\|_{L^p(\mathbb{R}^N)}, \tag{2.3}$$

with best constant

$$B = 2^{-\alpha} \pi^{-\alpha/2} \frac{\Gamma((N-\alpha)/2)}{\Gamma((N+\alpha)/2)} \left(\frac{\Gamma(N)}{\Gamma(N/2)} \right)^{\alpha/N}.$$

Lemma 2.4. For any $u \in H^\alpha(\mathbb{R}^N)$ and for any $h \in D^{-\alpha}(\mathbb{R}^N)$, there exists a unique solution $\phi = ((-\Delta)^\alpha + u^2)^{-1} h \in D^\alpha(\mathbb{R}^N)$ of the equation

$$(-\Delta)^\alpha \phi + u^2 \phi = h,$$

(here $D^{-\alpha}(\mathbb{R}^N)$ is the dual space of $D^\alpha(\mathbb{R}^N)$). Moreover, for every $u \in H^\alpha(\mathbb{R}^N)$ and for every $h, g \in D^{-\alpha}(\mathbb{R}^N)$, we have

$$\langle h, ((-\Delta)^\alpha + u^2)^{-1} g \rangle = \langle g, ((-\Delta)^\alpha + u^2)^{-1} h \rangle, \tag{2.4}$$

where $\langle \cdot, \cdot \rangle$ denotes the duality pairing between $D^{-\alpha}(\mathbb{R}^N)$ and $D^\alpha(\mathbb{R}^N)$.

Proof. If $u \in H^\alpha(\mathbb{R}^N)$, then by the Hölder inequality and (2.3), we have

$$\int_{\mathbb{R}^N} u^2 \phi^2 dx \leq \|u\|_{2p}^2 \|\phi\|_{2q}^2 \leq B^2 \|u\|_{2p}^2 \|\phi\|_{D^\alpha}^2, \tag{2.5}$$

where $\frac{1}{p} + \frac{1}{q} = 1, q = \frac{N}{N-2\alpha}, 2q = 2_\alpha^*$. Thus $(\int_{\mathbb{R}^N} |(-\Delta)^{\alpha/2} \phi|^2 dx + \int_{\mathbb{R}^N} u^2 \phi^2 dx)^{1/2}$ is a norm in $D^\alpha(\mathbb{R}^N)$ equivalent to $\|\phi\|_{D^\alpha}$. Hence, by applying the Lax-Milgram Lemma, we establish the existence part. For every $u \in H^\alpha(\mathbb{R}^N)$ and for every

$h, g \in D^{-\alpha}(\mathbb{R}^N)$, we have $\phi_g = ((-\Delta)^\alpha + u^2)^{-1}g$, $\phi_h = ((-\Delta)^\alpha + u^2)^{-1}h$. Hence,

$$\begin{aligned} \langle h, ((-\Delta)^\alpha + u^2)^{-1}g \rangle &= \int_{\mathbb{R}^N} h((-\Delta)^\alpha + u^2)^{-1}g dx = \int_{\mathbb{R}^N} h\phi_g dx \\ &= \int_{\mathbb{R}^N} ((-\Delta)^\alpha + u^2)\phi_h\phi_g dx = \int_{\mathbb{R}^N} ((-\Delta)^\alpha\phi_h + u^2\phi_h)\phi_g dx \\ &= \int_{\mathbb{R}^N} ((-\Delta)^\alpha\phi_g + u^2\phi_g)\phi_h dx = \int_{\mathbb{R}^N} g\phi_h dx \\ &= \int_{\mathbb{R}^N} g((-\Delta)^\alpha + u^2)^{-1}h dx = \langle g, ((-\Delta)^\alpha + u^2)^{-1}h \rangle, \end{aligned}$$

so we have (2.4). □

Lemma 2.5 (see [18]). *Let f be a function in $C_0^\infty(\mathbb{R}^N)$. Then for $\alpha \in (0, n)$, we have*

$$C_\alpha \doteq \pi^{-\alpha/2}\Gamma(-\alpha/2), \tag{2.6}$$

$$C_\alpha(\xi^{-\alpha}\hat{f}(\xi))^\vee(x) = C_{n-\alpha} \int_{\mathbb{R}^n} |x-y|^{\alpha-n}f(y)dy. \tag{2.7}$$

Lemma 2.6. *For every $u \in H^\alpha$ there exists a unique $\phi = \phi(u) \in D^\alpha$ which solves the second equation in (1.1). Furthermore, $\phi(u)$ is given by*

$$\phi(u)(x) = \int_{\mathbb{R}^3} |x-y|^{2\alpha-3}u^2(y)dy. \tag{2.8}$$

As a consequence, the map $\Phi : u \in H^\alpha \mapsto \phi(u) \in D^\alpha$ is of class C^1 and

$$[\Phi(u)]'(v)(x) = 2 \int_{\mathbb{R}^3} |x-y|^{2\alpha-3}u(y)v(y)dy, \forall u, v \in H^\alpha. \tag{2.9}$$

Proof. The existence and uniqueness part follows from Lemma 2.4. From Lemma 2.5 and the Fourier transform of the second equation in (1.1), the representation formula (2.8) holds for $u \in C_0^\infty(\mathbb{R}^3)$; by density it can be extended for any $u \in H^\alpha$. The representation formula (2.9) is clear. □

System (1.1) is the Euler-Lagrange equations corresponding to the functional $J : H^\alpha(\mathbb{R}^3) \times D^\alpha(\mathbb{R}^3) \rightarrow \mathbb{R}$:

$$\begin{aligned} J(u, \phi) &= \frac{1}{2} \int_{\mathbb{R}^3} \left(|(-\Delta)^{\frac{\alpha}{2}}u(x)|^2 + \lambda\tilde{V}(x)u^2 - \frac{1}{2}|(-\Delta)^{\frac{\alpha}{2}}\phi(x)|^2 + K_\alpha\phi u^2 \right) dx \\ &\quad - \int_{\mathbb{R}^3} \tilde{F}(x, u)dx + \frac{\mu}{q} \int_{\mathbb{R}^3} g(x)|u|^q dx, \end{aligned}$$

where $\tilde{F}(x, t) = \int_0^t \tilde{f}(x, s)ds, t \in \mathbb{R}$.

Evidently, the action functional J belongs to $C^1(H^\alpha(\mathbb{R}^3) \times D^\alpha(\mathbb{R}^3), \mathbb{R})$ and the partial derivatives in (u, ϕ) are given, for $\xi \in H^\alpha(\mathbb{R}^3)$ and $\eta \in D^\alpha(\mathbb{R}^3)$, by

$$\begin{aligned} \left\langle \frac{\partial J}{\partial u}(u, \phi), \xi \right\rangle &= \int_{\mathbb{R}^3} ((-\Delta)^{\frac{\alpha}{2}}u(x)(-\Delta)^{\frac{\alpha}{2}}\xi(x) + \lambda\tilde{V}(x)u\xi + K_\alpha\phi u\xi) dx \\ &\quad - \int_{\mathbb{R}^3} \tilde{f}(x, u)\xi(x)dx + \mu \int_{\mathbb{R}^3} g(x)|u|^{q-2}u\xi(x)dx, \\ \left\langle \frac{\partial J}{\partial \phi}(u, \phi), \eta \right\rangle &= \frac{1}{2} \int_{\mathbb{R}^3} (-(-\Delta)^{\frac{\alpha}{2}}\phi(x)(-\Delta)^{\frac{\alpha}{2}}\eta(x) + K_\alpha u^2\eta) dx. \end{aligned}$$

Thus, we have the following result:

Proposition 2.1. *The pair (u, ϕ) is a weak solution of system (1.1) if and only if it is a critical point of J in $H^\alpha(\mathbb{R}^3) \times D^\alpha(\mathbb{R}^3)$.*

We can consider the functional $J : H^\alpha(\mathbb{R}^3) \rightarrow \mathbb{R}$ defined by $J(u) = J(u, \phi(u))$. After multiplying the second equation in (1.1) by $\phi(u)$ and integrating by parts, we obtain

$$\int_{\mathbb{R}^3} |(-\Delta)^{\alpha/2} \phi(u)|^2 dx = K_\alpha \int_{\mathbb{R}^3} \phi(x) u^2 dx.$$

Therefore, the reduced functional takes the form

$$\begin{aligned} J(u) &= \frac{1}{2} \int_{\mathbb{R}^3} (|(-\Delta)^{\frac{\alpha}{2}} u(x)|^2 + \lambda \tilde{V}(x) u^2) dx + \frac{1}{4} K_\alpha \int_{\mathbb{R}^3} u^2 \phi(u) dx \\ &\quad - \int_{\mathbb{R}^3} \tilde{F}(x, u) dx + \frac{\mu}{q} \int_{\mathbb{R}^3} g(x) |u|^q dx. \end{aligned} \tag{2.10}$$

Lemma 2.7. *Assume that there exist $c_1, c_2 > 0$ and $p \in (4, 2_\alpha^*)$ such that*

$$|\tilde{f}(x, s)| \leq c_1 |s| + c_2 |s|^{p-1}, \forall x \in \mathbb{R}^3, s \in \mathbb{R}. \tag{2.11}$$

Then the following statements are equivalent:

- (i) $(u, \phi) \in (H^\alpha \cap L^p) \times D^\alpha$ is a solution of the system (1.1)-(1.2);
- (ii) $u \in H^\alpha \cap L^p$ is a critical point of J and $\phi = \phi(u)$.

Proof. From assumption (2.11), the Nemitsky operator $u \in H^\alpha \cap L^p \mapsto F(x, u) \in L^1$ is of class C^1 . Hence, from Lemma 2.6, for every $u, v \in H^\alpha$, we have

$$\begin{aligned} \langle J'(u), v \rangle &= \int_{\mathbb{R}^3} (-\Delta)^{\frac{\alpha}{2}} u(x) (-\Delta)^{\frac{\alpha}{2}} v(x) dx + \int_{\mathbb{R}^3} \lambda \tilde{V}(x) u v dx \\ &\quad + \frac{1}{2} K_\alpha \int_{\mathbb{R}^3} u v \int_{\mathbb{R}^3} |x - y|^{2\alpha-3} u^2(y) dy dx \\ &\quad + \frac{1}{2} K_\alpha \int_{\mathbb{R}^3} u^2 \int_{\mathbb{R}^3} |x - y|^{2\alpha-3} u(y) v(y) dy dx \\ &\quad - \int_{\mathbb{R}^3} \tilde{f}(x, u) v dx + \mu \int_{\mathbb{R}^3} g(x) |u|^{q-2} u v dx \\ &= \int_{\mathbb{R}^3} (-\Delta)^{\frac{\alpha}{2}} u(x) (-\Delta)^{\frac{\alpha}{2}} v(x) dx + \int_{\mathbb{R}^3} \lambda \tilde{V}(x) u v dx \\ &\quad + K_\alpha \int_{\mathbb{R}^3} u v \phi(u) dx - \int_{\mathbb{R}^3} \tilde{f}(x, u) v dx + \mu \int_{\mathbb{R}^3} g(x) |u|^{q-2} u v dx. \end{aligned}$$

From the Fubini-Tonelli Theorem we obtain the conclusion. □

Remark 2.1. Conditions (H1), (H2), (H4) imply (2.11). From (H2) and (H4), we have

$$|\tilde{f}(x, u)|^\tau \leq d_1 \left(\frac{1}{4} \tilde{f}(x, u) u - \tilde{F}(x, u) \right) |u|^\tau \leq \frac{d_1}{4} |\tilde{f}(x, u)| |u|^{\tau+1},$$

i.e., $|\tilde{f}(x, u)|^{\tau-1} \leq \frac{d_1}{4} |u|^{\tau+1}$ for large u with $\frac{2\tau}{\tau-1} \in (4, 2_\alpha^*)$. Combining this with (H1), there exist $c_1, c_2 > 0$ such that (2.11) holds. This also implies that

$$|\tilde{F}(x, s)| \leq \frac{c_1}{2} |s|^2 + \frac{c_2}{p} |s|^p, \forall x \in \mathbb{R}^3, s \in \mathbb{R}. \tag{2.12}$$

If $1 \leq p < \infty$ and $a, b \geq 0$, then

$$(a + b)^p \leq 2^{p-1}(a^p + b^p). \tag{2.13}$$

From (1.1), for any $u \in E$ using the Hölder inequality we have

$$\|\phi(u)\|_{D^\alpha}^2 = K_\alpha \int_{\mathbb{R}^3} \phi(u)u^2 dx \leq K_\alpha \|\phi(u)\|_q \|u\|_{2p}^2 \leq C \|\phi(u)\|_{D^\alpha} \|u\|_{2p}^2,$$

where $\frac{1}{p} + \frac{1}{q} = 1, q = 2_\alpha^* = \frac{6}{3-2\alpha}, \alpha > \frac{3}{4}$. Here and subsequently, C denotes an universal positive constant. This and Lemma 2.2 imply that

$$\|\phi(u)\|_{D^\alpha} \leq C \|u\|_{2p}^2 \leq C \|u\|_E^2, \tag{2.14}$$

$$\int_{\mathbb{R}^3} \phi(u)u^2 dx \leq C \|u\|_{2p}^4 \leq C \|u\|_E^4. \tag{2.15}$$

Lemma 2.8. *Suppose that $u_n \rightharpoonup u$ in $E, u_n(x) \rightarrow u(x)$ for a.e. $x \in \mathbb{R}^3$. Then we have*

$$\int_{\mathbb{R}^3} \phi(u_n)u_n^2 - \phi(u)u^2 dx = o(1), \text{ as } n \rightarrow \infty, \tag{2.16}$$

and

$$\int_{\mathbb{R}^3} (\phi(u_n)u_n - \phi(u)u)v dx = o(1), \text{ as } n \rightarrow \infty, \forall v \in E. \tag{2.17}$$

Proof. Now $u_n \rightarrow u$ in $L^r(\mathbb{R}^3)$ with $r \in [2, 2_\alpha^*)$ after passing to a subsequence. From [32, Lemma 2.3] and [47, Lemma 2.4], we have

(i) $T(u_n) = T(u) + T(u_n - u) + o(1)$ as $n \rightarrow \infty$, where $T(u) = \int_{\mathbb{R}^3} \phi(u)u^2 dx$.

(ii) if $u_n \rightharpoonup u$ in E , then $\phi(u_n) \rightarrow \phi(u)$ in $D^\alpha(\mathbb{R}^3)$.

From (i), by (2.15) for $p = \frac{6}{3+2\alpha}$ we obtain

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} \phi(u_n)u_n^2 - \phi(u)u^2 dx = \lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} \phi(u_n - u)(u_n - u)^2 dx \leq C \|u_n - u\|_{2p}^4 \rightarrow 0. \tag{2.18}$$

From (ii) we have $\phi(u_n) \rightarrow \phi(u)$ in $L^{\frac{12}{3+2\alpha}}(\mathbb{R}^3)$. Therefore, from (2.2) we have

$$\begin{aligned} & \int_{\mathbb{R}^3} u_n v (\phi(u_n) - \phi(u)) dx \\ & \leq \left(\int_{\mathbb{R}^3} |u_n|^{\frac{12}{3+2\alpha}} dx \right)^{\frac{3+2\alpha}{12}} \left(\int_{\mathbb{R}^3} |v|^{\frac{6}{3-2\alpha}} dx \right)^{\frac{3-2\alpha}{6}} \left(\int_{\mathbb{R}^3} |\phi(u_n) - \phi(u)|^{\frac{12}{3+2\alpha}} dx \right)^{\frac{3+2\alpha}{12}} \\ & \leq C_{\frac{12}{3+2\alpha}} C_{\frac{6}{3-2\alpha}} \|u_n\| \|v\| \left(\int_{\mathbb{R}^3} |\phi(u_n) - \phi(u)|^{\frac{12}{3+2\alpha}} dx \right)^{\frac{3+2\alpha}{12}} \\ & \rightarrow 0, \text{ as } n \rightarrow \infty, \text{ for } u_n, u, v \in E. \end{aligned} \tag{2.19}$$

Note (2.5) and we obtain

$$\begin{aligned} \int_{\mathbb{R}^3} (u_n - u)v\phi(u) dx & \leq \left(\int_{\mathbb{R}^3} |u_n - u|^2 dx \right)^{\frac{1}{2}} \left(\int_{\mathbb{R}^3} v^2 \phi^2(u) dx \right)^{\frac{1}{2}} \\ & \leq B \|v\|_{\frac{12}{3+2\alpha}} \|\phi\|_{D^\alpha} \left(\int_{\mathbb{R}^3} |u_n - u|^2 dx \right)^{\frac{1}{2}} \\ & \rightarrow 0, \text{ as } n \rightarrow \infty, \text{ for } u_n, u, v \in E. \end{aligned}$$

Therefore, when $n \rightarrow \infty$ we have

$$\int_{\mathbb{R}^3} (\phi(u_n)u_n - \phi(u)u)v dx = \int_{\mathbb{R}^3} (\phi(u_n)u_n - \phi(u)u_n + \phi(u)u_n - \phi(u)u)v dx = o(1).$$

□

Next we introduce the Fountain theorem under the condition (C), which is weaker than the (PS) condition.

Definition 2.1 (see [27]). Assume that X is a Banach space. We say that $J \in C^1(X, \mathbb{R})$ satisfies the Cerami condition (C), if for all $c \in \mathbb{R}$:

(i) any bounded sequence $\{u_n\} \subset X$ satisfying $J(u_n) \rightarrow c, J'(u_n) \rightarrow 0$ possesses a convergent subsequence;

(ii) there exist $\sigma, R, \beta > 0$ such that for any $u \in J^{-1}([c - \sigma, c + \sigma])$ with $\|u\| \geq R, \|J'(u)\| \|u\| \geq \beta$.

Lemma 2.9 (see [27]). Assume that $X = \overline{\bigoplus_{j=1}^{\infty} X_j}$, where X_j are finite dimensional subspaces of X . For each $k \in \mathbb{N}$, let $Y_k = \bigoplus_{j=1}^k X_j, Z_k = \overline{\bigoplus_{j=k}^{\infty} X_j}$. Suppose that $J \in C^1(X, \mathbb{R})$ satisfies condition (C), and $J(-u) = J(u)$. Assume for each $k \in \mathbb{N}$, there exist $\rho_k > r_k > 0$ such that

(i) $b_k = \inf_{u \in Z_k \cap S_{r_k}} J(u) \rightarrow +\infty, k \rightarrow \infty,$

(ii) $a_k = \max_{u \in Y_k \cap S_{\rho_k}} J(u) \leq 0$, where $S_{\rho} = \{u \in X : \|u\| = \rho\}$.

Then J has a sequence of critical points u_n , such that $J(u_n) \rightarrow +\infty$ as $n \rightarrow \infty$.

3. Proof of Theorem 1.1

We first prove that the energy functional J satisfies condition (C) in Definition 2.1.

Lemma 3.1. Suppose the assumptions in Theorem 1.1 hold (with $\Lambda > 0$ chosen appropriately). Then J satisfies condition (C).

Proof. For every $c \in \mathbb{R}$, we assume that $\{u_n\}_{n \in \mathbb{N}} \subset E$ is bounded and

$$J(u_n) \rightarrow c, J'(u_n) \rightarrow 0, \text{ as } n \rightarrow \infty.$$

Therefore, passing to a subsequence if necessary, there exists $u \in E$ such that

$$\begin{cases} u_n \rightharpoonup u \text{ weakly in } E, \\ u_n \rightarrow u \text{ strongly in } L^p(\mathbb{R}^3) \text{ for } p \in [2, 2_{\alpha}^*), \\ u_n \rightarrow u \text{ for a.e. } x \in \mathbb{R}^3. \end{cases} \quad (3.1)$$

We now show that

$$J(u_n - u) = c - J(u) + o(1), \quad \langle J'(u_n - u), v \rangle = o(1), \text{ as } n \rightarrow \infty, \forall v \in E. \quad (3.2)$$

Let $w_n = u_n - u$. Then $w_n \rightharpoonup 0$ in $E, w_n \rightarrow 0$ in $L^r(\mathbb{R}^3)$ with $r \in [2, 2_{\alpha}^*)$ and $w_n \rightarrow 0$ for a.e. $x \in \mathbb{R}^3$ after passing to a subsequence. Since $u_n \rightharpoonup u$ in E , we have $(u_n - u, u) \rightarrow 0$ as $n \rightarrow \infty$, which implies

$$\|u_n\|^2 = (w_n + u, w_n + u) = \|w_n\|^2 + \|u\|^2 + o(1), \text{ as } n \rightarrow \infty.$$

Note (2.18) and (2.19), and we easily see that

$$\int_{\mathbb{R}^3} \phi(u_n - u)(u_n - u)^2 dx \rightarrow 0, \text{ as } n \rightarrow \infty,$$

and

$$\int_{\mathbb{R}^3} \phi(u_n - u)(u_n - u)v dx \rightarrow 0, \text{ as } n \rightarrow \infty, \forall v \in E.$$

To prove (3.2), it will be enough to show that as $n \rightarrow \infty$,

$$\int_{\mathbb{R}^3} (\tilde{F}(x, u_n) - \tilde{F}(x, u_n - u) - \tilde{F}(x, u)) dx = o(1), \tag{3.3}$$

$$\int_{\mathbb{R}^3} g(x)(|u_n|^q - |u_n - u|^q - |u|^q) dx = o(1), \tag{3.4}$$

$$\int_{\mathbb{R}^3} (\tilde{f}(x, u_n) - \tilde{f}(x, u_n - u) - \tilde{f}(x, u))v dx = o(1), \tag{3.5}$$

and

$$\int_{\mathbb{R}^3} g(x)(|u_n|^{q-2}u_n - |u_n - u|^{q-2}(u_n - u) - |u|^{q-2}u)v dx = o(1), \tag{3.6}$$

for all $v \in E$.

We only prove (3.3) and (3.4) (the proofs of (3.5) and (3.6) are similar). Note that $u_n \rightharpoonup u$ in E , and from Lemma A.1 of [35], there exists $\sigma(x) \in L^r(\mathbb{R}^3)$ with $r \in [2, 2_\alpha^*)$ such that

$$|u_n(x)| \leq \sigma(x), \quad |u(x)| \leq \sigma(x), \text{ for } x \in \mathbb{R}^3, n \in \mathbb{N}. \tag{3.7}$$

From this and (2.12), for $\sigma_1 \in L^2(\mathbb{R}^3), \sigma_2 \in L^p(\mathbb{R}^3)$ with $p \in (4, 2_\alpha^*)$, we have

$$\begin{aligned} |\tilde{F}(x, u_n) - \tilde{F}(x, u)| &\leq \frac{c_1}{2}(|u_n|^2 + |u|^2) + \frac{c_2}{p}(|u_n|^p + |u|^p) \\ &\leq c_1\sigma_1^2(x) + \frac{2c_2}{p}\sigma_2^p(x) \in L^1(\mathbb{R}^3). \end{aligned}$$

Hence, the Lebesgue dominated convergence theorem yields

$$\begin{aligned} \lim_{n \rightarrow \infty} \left| \int_{\mathbb{R}^3} (\tilde{F}(x, u_n) - \tilde{F}(x, u)) dx \right| &\leq \lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} |(\tilde{F}(x, u_n) - \tilde{F}(x, u))| dx \\ &= \int_{\mathbb{R}^3} \lim_{n \rightarrow \infty} |(\tilde{F}(x, u_n) - \tilde{F}(x, u))| dx \rightarrow 0. \end{aligned}$$

On the other hand, from (2.12) and the Hölder inequality, for $p \in (4, 2_\alpha^*)$ we have

$$\int_{\mathbb{R}^3} \tilde{F}(x, u_n - u) dx \leq \int_{\mathbb{R}^3} \left(\frac{c_1}{2}|w_n|^2 + \frac{c_2}{p}|w_n|^p \right) dx \rightarrow 0, \text{ as } n \rightarrow \infty.$$

This proves (3.3). From (g) and the Hölder inequality, for $\frac{qq'}{q'-1} \in [2, 2_\alpha^*)$ we have

$$\int_{\mathbb{R}^3} g(x)|u_n - u|^q dx \leq \|g\|_{q'} \left(\int_{\mathbb{R}^3} |u_n - u|^{\frac{qq'}{q'-1}} dx \right)^{\frac{q'-1}{q'}} \rightarrow 0, \text{ as } n \rightarrow \infty.$$

Since

$$\left| \int_{\mathbb{R}^3} g(x)(|u_n|^q - |u|^q) dx \right| \leq \int_{\mathbb{R}^3} g(x)|u_n - u|^q dx,$$

the proof of (3.4) is complete.

Recall $w_n = u_n - u$. From (2.11), (2.12) and (3.7) we have

$$\begin{aligned} \int_{\mathbb{R}^3} \widetilde{\mathcal{F}}(x, w_n) dx &= \int_{\mathbb{R}^3} \left(\frac{1}{4} \widetilde{f}(x, w_n) w_n - \widetilde{F}(x, w_n) \right) dx \\ &\leq \int_{\mathbb{R}^3} \left(\frac{3}{4} c_1 |w_n|^2 + \frac{p+4}{4p} c_2 |w_n|^p \right) dx \\ &\leq \int_{\mathbb{R}^3} \left(3c_1 \sigma_1^2(x) + \frac{p+4}{p} 2^{p-2} c_2 \sigma_2^p(x) \right) dx \\ &\leq \widetilde{M}, \end{aligned}$$

where $\widetilde{M} > 0$.

As $\widetilde{V}(x) < b$ on a set of finite measure (see Remark 1.2) and $w_n \rightarrow 0$ in E , from (2.2) we have

$$\|w_n\|_2^2 = \int_{\mathbb{R}^3} |w_n|^2 dx \leq \frac{1}{\lambda b} \int_{\widetilde{V} \geq b} \lambda \widetilde{V}(x) |w_n|^2 dx + \int_{\widetilde{V} < b} |w_n|^2 dx \leq \frac{1}{\lambda b} \|w_n\|^2 + o(1).$$

From this and the Hölder inequality, for $s = \frac{2\tau}{\tau-1} \in (4, 2_\alpha^*)$, fixed $\nu \in (s, 2_\alpha^*)$ we have

$$\begin{aligned} \|w_n\|_s^s &= \int_{\mathbb{R}^3} |w_n|^s dx = \int_{\mathbb{R}^3} |w_n|^{\frac{2(\nu-s)}{\nu-2}} |w_n|^{s-\frac{2(\nu-s)}{\nu-2}} dx \\ &\leq \left(\int_{\mathbb{R}^3} |w_n|^{\frac{2(\nu-s)}{\nu-2} \frac{\nu-2}{\nu-s}} dx \right)^{\frac{\nu-s}{\nu-2}} \left(\int_{\mathbb{R}^3} |w_n|^{(s-\frac{2(\nu-s)}{\nu-2}) \frac{\nu-2}{s-2}} dx \right)^{\frac{s-2}{\nu-2}} \\ &= \left(\int_{\mathbb{R}^3} |w_n|^2 dx \right)^{\frac{\nu-s}{\nu-2}} \left(\int_{\mathbb{R}^3} |w_n|^\nu dx \right)^{\frac{s-2}{\nu-2}} \\ &\leq \left(\frac{1}{\lambda b} \right)^{\frac{\nu-s}{\nu-2}} C_\nu^{\frac{\nu(s-2)}{\nu-2}} \|w_n\|^{\frac{2(\nu-s)}{\nu-2}} \|w_n\|^{\frac{\nu(s-2)}{\nu-2}} \\ &= \left(\frac{1}{\lambda b} \right)^{\frac{\nu-s}{\nu-2}} C_\nu^{\frac{\nu(s-2)}{\nu-2}} \|w_n\|^s, \text{ for } C_\nu > 0. \end{aligned}$$

From (H1), for any $\varepsilon > 0$, there exists $\delta = \delta(\varepsilon) > 0$ such that $|\widetilde{f}(x, u)| \leq \varepsilon|u|$ for $x \in \mathbb{R}^3$ and $|u| \leq \delta$. Moreover, (H4) is also satisfied for $|u| \geq \delta$. Therefore, we have

$$\int_{|w_n| \leq \delta} \widetilde{f}(x, w_n) w_n dx \leq \varepsilon \int_{|w_n| \leq \delta} |w_n|^2 dx \leq \frac{\varepsilon}{\lambda b} \|w_n\|^2 + o(1),$$

and

$$\begin{aligned} \int_{|w_n| \geq \delta} \widetilde{f}(x, w_n) w_n dx &= \int_{|w_n| \geq \delta} \frac{\widetilde{f}(x, w_n)}{w_n} w_n^2 dx \\ &\leq \left(\int_{|w_n| \geq \delta} \left| \frac{\widetilde{f}(x, w_n)}{w_n} \right|^\tau dx \right)^{1/\tau} \left(\int_{|w_n| \geq \delta} |w_n|^{\frac{2\tau}{\tau-1}} dx \right)^{(\tau-1)/\tau} \end{aligned}$$

$$\begin{aligned} &\leq \left(\int_{|w_n| \geq \delta} d_1 \widetilde{\mathcal{F}}(x, u) dx \right)^{1/\tau} \|w_n\|_s^2 \\ &\leq (d_1 \widetilde{M})^{1/\tau} \left(\frac{1}{\lambda b} \right)^{\frac{2(\nu-s)}{s(\nu-2)}} C_\nu^{\frac{2\nu(s-2)}{s(\nu-2)}} \|w_n\|^2 + o(1). \end{aligned}$$

Consequently, from (3.2) we obtain

$$\begin{aligned} o(1) &= \langle J'(w_n), w_n \rangle \\ &= \|w_n\|^2 + K_\alpha \int_{\mathbb{R}^3} w_n^2 \phi(w_n) dx - \int_{\mathbb{R}^3} \widetilde{f}(x, w_n) w_n dx + \mu \int_{\mathbb{R}^3} g(x) |w_n|^q dx \\ &\geq \left[1 - \frac{\varepsilon}{\lambda b} - (d_1 \widetilde{M})^{1/\tau} \left(\frac{1}{\lambda b} \right)^{\frac{2(\nu-s)}{s(\nu-2)}} C_\nu^{\frac{2\nu(s-2)}{s(\nu-2)}} \right] \|w_n\|^2 + o(1). \end{aligned}$$

Thus there exists $\Lambda > 0$ such that $w_n \rightarrow 0$ in E when $\lambda > \Lambda$. This implies that $u_n \rightarrow u$ in E , and Definition 2.1 (i) holds.

Next, we prove condition in Definition 2.1 (ii) holds. If not, there exist $c \in \mathbb{R}$ and $\{u_n\}_{n \in \mathbb{N}} \subset E$ satisfying

$$J(u_n) \rightarrow c, \|u_n\| \rightarrow \infty, \|J'(u_n)\| \|u_n\| \rightarrow 0, \text{ as } n \rightarrow \infty. \tag{3.8}$$

Then we have

$$\begin{aligned} c + o(1) &= J(u_n) - \frac{1}{4} \langle J'(u_n), u_n \rangle \\ &= \frac{1}{2} \|u_n\|^2 + \int_{\mathbb{R}^3} \widetilde{\mathcal{F}}(x, u_n) dx + \left(\frac{\mu}{q} - \frac{\mu}{4} \right) \int_{\mathbb{R}^3} g(x) |u_n|^q dx \\ &\geq \int_{\mathbb{R}^3} \widetilde{\mathcal{F}}(x, u_n) dx. \end{aligned} \tag{3.9}$$

In view of the definition of J' , (3.8), (2.2) and (g) we obtain

$$\begin{aligned} 1 &= \frac{\|u_n\|^2}{\|u_n\|^2} \\ &= \frac{\langle J'(u_n), u_n \rangle}{\|u_n\|^2} - \frac{K_\alpha \int_{\mathbb{R}^3} u_n^2 \phi(u_n) dx}{\|u_n\|^2} + \frac{\int_{\mathbb{R}^3} \widetilde{f}(x, u_n) u_n dx}{\|u_n\|^2} - \mu \frac{\int_{\mathbb{R}^3} g(x) |u_n|^q dx}{\|u_n\|^2} \\ &\leq \limsup_{n \rightarrow \infty} \left[\frac{\langle J'(u_n), u_n \rangle}{\|u_n\|^2} + \frac{\int_{\mathbb{R}^3} \widetilde{f}(x, u_n) u_n dx}{\|u_n\|^2} + \mu \frac{\|g\|_{q'} C_{\frac{qq'}{q'-1}}^q \|u_n\|^q}{\|u_n\|^2} \right] \\ &\leq \limsup_{n \rightarrow \infty} \frac{\int_{\mathbb{R}^3} \widetilde{f}(x, u_n) u_n dx}{\|u_n\|^2}. \end{aligned} \tag{3.10}$$

Define $v_n = \frac{u_n}{\|u_n\|}$, and note $\|v_n\| = 1$. Passing to a subsequence, there exists a $v \in E$ such that $v_n \rightharpoonup v$ weakly in E , $v_n \rightarrow v$ strongly in $L^r(\mathbb{R}^3)$ with $r \in [2, 2_\alpha^*)$, $v_n(x) \rightarrow v(x)$ for a.e. $x \in \mathbb{R}^3$. For $0 \leq a < b$, let $\Omega_n(a, b) = \{x \in \mathbb{R}^3 : a \leq |u_n(x)| < b\}$. Now we consider the following two cases.

Case 1: Suppose $v = 0$.

Then $v_n \rightarrow 0$ in $L^r(\mathbb{R}^3)$ with $r \in [2, 2_\alpha^*)$, $v_n(x) \rightarrow 0$ for a.e. $x \in \mathbb{R}^3$. Let L_1 be as in (H4), and from (2.11) we have

$$\begin{aligned} \int_{\Omega_n(0,L_1)} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^2} dx &= \int_{\Omega_n(0,L_1)} \frac{\tilde{f}(x, u_n)u_n}{|u_n|^2} |v_n|^2 dx \\ &\leq (c_1 + c_2 L_1^{p-2}) \int_{\Omega_n(0,L_1)} |v_n|^2 dx \tag{3.11} \\ &\leq (c_1 + c_2 L_1^{p-2}) \int_{\mathbb{R}^3} |v_n|^2 dx \rightarrow 0. \end{aligned}$$

On the other hand, if we set $\tau' = \tau/(\tau - 1)$, then $2\tau' \in (4, 2_\alpha^*)$. From the Hölder inequality, (3.9) and (H4) we obtain

$$\begin{aligned} \int_{\Omega_n(L_1,\infty)} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^2} dx &= \int_{\Omega_n(L_1,\infty)} \frac{\tilde{f}(x, u_n)u_n}{|u_n|^2} |v_n|^2 dx \\ &\leq \left(\int_{\Omega_n(L_1,\infty)} \left(\frac{\tilde{f}(x, u_n)u_n}{|u_n|^2} \right)^\tau dx \right)^{\frac{1}{\tau}} \left(\int_{\Omega_n(L_1,\infty)} |v_n|^{2\tau'} dx \right)^{\frac{1}{\tau'}} \\ &\leq \left(\int_{\Omega_n(L_1,\infty)} \left| \frac{\tilde{f}(x, u_n)}{u_n} \right|^\tau dx \right)^{\frac{1}{\tau}} \left(\int_{\Omega_n(L_1,\infty)} |v_n|^{2\tau'} dx \right)^{\frac{1}{\tau'}} \\ &\leq \left(\int_{\Omega_n(L_1,\infty)} d_1 \tilde{\mathcal{F}}(x, u) dx \right)^{\frac{1}{\tau}} \left(\int_{\Omega_n(L_1,\infty)} |v_n|^{2\tau'} dx \right)^{\frac{1}{\tau'}} \\ &\leq [d_1(c + 1)]^{\frac{1}{\tau}} \left(\int_{\mathbb{R}^3} |v_n|^{2\tau'} dx \right)^{\frac{1}{\tau'}} \rightarrow 0. \end{aligned} \tag{3.12}$$

Combining (3.11) and (3.12), we have

$$\int_{\mathbb{R}^3} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^2} dx = \int_{\Omega_n(0,L_1)} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^2} dx + \int_{\Omega_n(L_1,\infty)} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^2} dx \rightarrow 0, \tag{3.13}$$

which contradicts (3.10).

Case 2: Suppose $v \neq 0$.

Then we set $A = \{x \in \mathbb{R}^3 : v(x) \neq 0\}$ and $\text{meas}(A) > 0$. For $x \in A$, we have $\lim_{n \rightarrow \infty} |u_n(x)| = \infty$, and hence $A \subset \Omega_n(L_1, \infty)$ for large n . From (2.11), (2.15), (2.2) and (H3), note the nonnegativity of $f(x, u)u$, Fatou's Lemma enables us to obtain

$$\begin{aligned} 0 &= \lim_{n \rightarrow \infty} \frac{\langle J'(u_n), u_n \rangle}{\|u_n\|^4} \\ &= \lim_{n \rightarrow \infty} \left[\frac{\|u_n\|^2}{\|u_n\|^4} + K_\alpha \frac{\int_{\mathbb{R}^3} u_n^2 \phi(u_n) dx}{\|u_n\|^4} - \frac{\int_{\mathbb{R}^3} \tilde{f}(x, u_n)u_n dx}{\|u_n\|^4} + \mu \frac{\int_{\mathbb{R}^3} g(x)|u_n|^q dx}{\|u_n\|^4} \right] \\ &\leq \lim_{n \rightarrow \infty} \left[\frac{\|u_n\|^q}{\|u_n\|^4} \mu \|g\|_{q'} C^{\frac{qq'}{q'-1}} + K_\alpha C \frac{\|u_n\|^4}{\|u_n\|^4} - \int_{\Omega_n(0,L_1)} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^4} dx \right. \\ &\quad \left. - \int_{\Omega_n(L_1,\infty)} \frac{\tilde{f}(x, u_n)u_n}{|u_n|^4} |v_n|^4 dx \right] \end{aligned}$$

$$\begin{aligned}
 &\leq K_\alpha C + \limsup_{n \rightarrow \infty} \int_{\Omega_n(0, L_1)} \frac{\tilde{f}(x, u_n)u_n}{\|u_n\|^4} dx - \liminf_{n \rightarrow \infty} \int_{\Omega_n(L_1, \infty)} \frac{\tilde{f}(x, u_n)u_n}{|u_n|^4} |v_n|^4 dx \\
 &\leq K_\alpha C + \limsup_{n \rightarrow \infty} \frac{c_1 L_1^2 + c_2 L_1^p}{\|u_n\|^4} \cdot \text{meas}(\Omega_n(0, L_1)) \\
 &\quad - \liminf_{n \rightarrow \infty} \int_{\Omega_n(L_1, \infty)} \frac{\tilde{f}(x, u_n)u_n}{|u_n|^4} [\chi_{\Omega_n(L_1, \infty)}(x)] |v_n|^4 dx \\
 &\leq K_\alpha C - \int_{\Omega_n(L_1, \infty)} \liminf_{n \rightarrow \infty} \frac{\tilde{f}(x, u_n)u_n}{|u_n|^4} [\chi_{\Omega_n(L_1, \infty)}(x)] |v_n|^4 dx \\
 &\rightarrow -\infty.
 \end{aligned}$$

This is also a contradiction.

Combining the above two cases we have that Definition 2.1 (ii) holds. □

Lemma 3.2. *Suppose the assumptions in Theorem 1.1 hold. Then there exist constants $\rho, \beta > 0$ such that $J(u) \geq \beta$ when $\|u\| = \rho$.*

Proof. Note that $q \in \left(\frac{6}{3+2\alpha}, 2\right]$. From (2.12) and (2.2), we have

$$\begin{aligned}
 J(u) &= \frac{1}{2} \|u\|^2 + \frac{1}{4} K_a \int_{\mathbb{R}^3} \phi(u)u^2 dx - \int_{\mathbb{R}^3} \tilde{F}(x, u) dx - \mu \int_{\mathbb{R}^3} g(x)u dx \\
 &\geq \frac{1}{2} \|u\|^2 - \int_{\mathbb{R}^3} \tilde{F}(x, u) dx - \mu \int_{\mathbb{R}^3} g(x)u dx \\
 &\geq \frac{1}{2} \|u\|^2 - \frac{c_1}{2} \|u\|_2^2 - \frac{c_2}{p} \|u\|_p^p - \mu \|g\|_q \|u\|_{\frac{q}{q-1}} \\
 &\geq \frac{1}{2} \|u\|^2 - \frac{c_1}{2} C_2^2 \|u\|^2 - \frac{c_2}{p} C_p^p \|u\|^p - \mu C_{\frac{q}{q-1}} \|g\|_q \|u\|.
 \end{aligned}$$

Note that c_1 can be arbitrarily small, and let $c_1 = \frac{1}{2C_2^2}$, and then

$$\begin{aligned}
 J(u) &\geq \frac{1}{4} \|u\|^2 - \frac{c_2}{p} C_p^p \|u\|^p - \mu C_{\frac{q}{q-1}} \|g\|_q \|u\| \\
 &\geq \|u\| \left(\frac{1}{4} \|u\| - \frac{c_2}{p} C_p^p \|u\|^{p-1} - \mu C_{\frac{q}{q-1}} \|g\|_q \right).
 \end{aligned}$$

Note that $p \in (4, 2_\alpha^*)$, and we obtain a $\rho > 0$ such that $h(\rho) = \frac{1}{4}\rho - \frac{c_2}{p} C_p^p \rho^{p-1} > 0$. Consequently, we choose a sufficiently small $\mu > 0$ such that $h(\rho) - \mu C_{\frac{q}{q-1}} \|g\|_q > 0$. □

Proof of Theorem 1.1. Now, we use Lemma 2.9 to prove Theorem 1.1. We first give the direct decomposition for the space E . Note that E is a Hilbert space, so let e_j be an orthonormal basis of E and define $X_j = \mathbb{R}e_j$, and we have

$$Y_k = \bigoplus_{j=1}^k X_j, \quad Z_k = \overline{\bigoplus_{j=k}^\infty X_j}, \quad k \in \mathbb{N}. \tag{3.14}$$

In what follows, we show that, for each $k \in \mathbb{N}$, there exist $\rho_k > r_k > 0$ such that

$$b_k = \inf_{u \in Z_k, \|u\|=r_k} J(u) \rightarrow +\infty, \quad k \rightarrow \infty, \tag{3.15}$$

and

$$a_k = \max_{u \in Y_k, \|u\| = \rho_k} J(u) \leq 0. \tag{3.16}$$

From Lemma 2.2 and Lemma 3.8 in [35], for $r \in [2, 2_\alpha^*)$ we have

$$\beta_k(r) = \sup_{u \in Z_k, \|u\|=1} \|u\|_r \rightarrow 0, k \rightarrow \infty. \tag{3.17}$$

This, together with (2.12) and (2.2), implies that

$$\begin{aligned} J(u) &= \frac{1}{2}\|u\|^2 + \frac{1}{4}K_\alpha \int_{\mathbb{R}^3} u^2 \phi(u) dx - \int_{\mathbb{R}^3} \tilde{F}(x, u) dx + \frac{\mu}{q} \int_{\mathbb{R}^3} g(x) |u|^q dx \\ &\geq \frac{1}{2}\|u\|^2 - \int_{\mathbb{R}^3} \tilde{F}(x, u) dx \\ &\geq \frac{1}{2}\|u\|^2 - \frac{c_1}{2}\|u\|_2^2 - \frac{c_2}{p}\|u\|_p^p \\ &\geq \frac{1}{2}\|u\|^2 - \frac{c_1}{2}C_2^2\|u\|^2 - \frac{c_2}{p}\|u\|_p^p. \end{aligned} \tag{3.18}$$

Note from (H1) we see that c_1 can be chosen arbitrarily small, so if we take $c_1 \leq \frac{1}{2}C_2^{-2}$ and $r_k = (c_2\beta_k^p)^{\frac{1}{2-p}}$, by (3.18), for $u \in Z_k$, and $\|u\| = r_k$, we find

$$J(u) \geq \frac{1}{4}\|u\|^2 - \frac{c_2}{p}\beta_k^p\|u\|^p \geq \left(\frac{1}{4} - \frac{1}{p}\right)(c_2\beta_k^p)^{\frac{2}{2-p}} \rightarrow +\infty, \text{ as } k \rightarrow +\infty, \text{ with } p > 4.$$

Therefore, (3.15) holds.

On the other hand, from L'Hospital rule and (H3) we have

$$\lim_{|u| \rightarrow \infty} \frac{\tilde{F}(x, u)}{|u|^4} = +\infty \text{ uniformly in } x \in \mathbb{R}^3.$$

Hence, there exists sufficiently large $\vartheta_k > 0$ such that

$$\tilde{F}(x, u) \geq \vartheta_k |u|^4, \text{ for } x \in \mathbb{R}^3, |u| > L_2, \text{ for some } L_2 > 0.$$

From (2.12) with $p \in (4, 2_\alpha^*)$, we have

$$\tilde{F}(x, u) \leq |u|^2 \left(\frac{c_1}{2} + \frac{c_2}{p}|u|^{p-2} \right) \leq \left(\frac{c_1}{2} + \frac{c_2}{p}L_2^{p-2} \right) |u|^2, \text{ for } x \in \mathbb{R}^3, |u| \leq L_2.$$

As a result, there exists $\mathcal{M} = \frac{c_1}{2} + \frac{c_2}{p}L_2^{p-2}$ such that

$$\tilde{F}(x, u) \geq \vartheta_k |u|^4 - \mathcal{M}|u|^2, \text{ for } x \in \mathbb{R}^3, u \in \mathbb{R}. \tag{3.19}$$

Since $\dim Y_k < \infty$ and all norms are equivalent in the finite-dimensional space, from (3.19), (2.15) and (g) we have

$$\begin{aligned} J(u) &= \frac{1}{2}\|u\|^2 + \frac{1}{4}K_\alpha \int_{\mathbb{R}^3} u^2 \phi(u) dx - \int_{\mathbb{R}^3} \tilde{F}(x, u) dx + \frac{\mu}{q} \int_{\mathbb{R}^3} g(x) |u|^q dx \\ &\leq \frac{1}{2}\|u\|^2 + \frac{1}{4}K_\alpha C \|u\|^4 - \vartheta_k \|u\|_4^4 + \mathcal{M} \|u\|_2^2 + \frac{\mu}{q} \|g\|_{g'} \|u\|_{\frac{qq'}{q'-1}}^q \\ &\leq \frac{1}{2}\|u\|^2 + \frac{1}{4}K_\alpha C \|u\|^4 - \vartheta_k C_k^4 \|u\|^4 + \mathcal{M} \|u\|_2^2 + \frac{\mu}{q} \|g\|_{g'} \|u\|_{\frac{qq'}{q'-1}}^q, C_k > 0. \end{aligned} \tag{3.20}$$

Note that ϑ_k can be chosen large enough, so we take $u \in Y_k$ and large ρ_k ($\rho_k > r_k$) such that

$$J(u) \leq 0, \text{ for } u \in Y_k, \|u\| = \rho_k.$$

Thus (3.16) holds.

Finally, (H5) implies that J is an even functional on E . Thus J satisfies all conditions of Lemma 2.9. Then J has a sequence of critical points u_n , such that $J(u_n) \rightarrow +\infty$ as $n \rightarrow \infty$. This means that (1.1) has infinitely many high energy solutions $\{u_n\}_{n \in \mathbb{N}}$ such that

$$\frac{1}{2}\|u_n\|^2 + \frac{1}{4}K_\alpha \int_{\mathbb{R}^3} u_n^2 \phi(u_n) dx - \int_{\mathbb{R}^3} \tilde{F}(x, u_n) dx + \frac{\mu}{q} \int_{\mathbb{R}^3} g(x) |u_n|^q dx \rightarrow +\infty, \text{ as } n \rightarrow \infty.$$

□

Acknowledgements. We would like to express our thanks to the anonymous referees and the editor for their constructive comments and suggestions, which greatly improved this article.

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